02/23/04
INFORMATION DISCLOSURE STATEMENT
PTO Form 1449

Docket Number 01610.0113-US-D2 Serial Number TO BE ASSIGNED 10 / 784674

Applicant(s) Kuehn, et al.

Filing Date FEBRUARY 23, 2004 FEBRUARY 23, 2004

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	. NAME	CLASS	SUB-CLASS	FILING DATE (IF APPROPRIATE)
SMIM		3,671,979	06/27/72	Moulopoukos			
		3,874,388	04/01/75	King, et al.			
		5,042,707	08/27/91	Taheri			
		5,181,919	01/26/93	Bergman, et al.			
		5,209,756	05/11/93	Seedhom, et al.			
		5,403,326	04/04/95	Harrison, et al.			
		5,417,700	05/03/98	Egan			
		5,423,858	06/13/95	Bolanos, et al.		7	
		5,425,738	06/20/95	Gustafson, et al.	•		
		5,478,353	12/26/95	Yoon			
		5,496,310	03/05/96	Exconde, et al.			
		5,549,122	08/27/96	Detweilwer	. /		
		5,609,598	03/11/97	Laufer, et al.			
		5,702,048	12/30/97	Eberlin			
		5,713,910	02/03/98	Gordon, et al.			
	0.	5,797,927	08/25/98	Yoon			
		5,810,847	09/22/98	Laufer, et al.			
		5,855,614	01/05/99	Stevens, et al.		<b>)</b>	
		5,891,162	04/06/99	Sugarbaker, et al.			
		5,947,363	09/07/99	Boldue, et al.			
		5,954,731	09/2199 .	Yoon			
		5,957,936	09/2899	Yoon, et al.			1
		5,976,164	11/02/99	Bencini, et al.			
		6,017,358	01/25/00	Yoon, et al.			****
		6,086,600	07/1100	Kortenbach			***************************************
		6,120,513	09/19/00	Bailey, et al.			
		6,165,183	12/26/00	Kuehn, et al.			
4		6,269,819	08/07/01	Oz, et al.		7	

## **FOREIGN PATENT DOCUMENTS**

EXAMINER	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANS	LATION
INITIALS		JOGGINENT NOMBER	DATE	00001111	CEASS	300-CLASS	YES	NO
MM.WI		WO 94/18881	09/01/94	PCT				
7''		0 684 012	02/16/95	EP				
		2,305,627	04/16/97	GB				
		WO 97/39688	10/30/97	PCT				
		WO 98/07375	02/26/98	PCT				
4		WO 98/30153	07/16/98	PCT				
•						-		
			<u> </u>					

# **OTHER DOCUMENTS**

Examiner: Julian W. Woo Date Considered: 10-12-07

	Docket Number 01610.0113-US-D2	Serial Number SDBE-ASSIGNED- 10/184 674					
ATION DISCLOSURE STATEMENT	Applicant(s)	701 -1					
PTO Form 1449	Kuehn, et al.						
	Filing Date	Group Art Unit					
	FEBRUARY 23, 2004	TO BE ASSIGNED 7773					
Reul, Ross M. and Cohn, Lawrence H., 'Cardiovascular Diseases, Vol. XXXIX, N	"Mitral Valve Reconstructi lo, 6 (May/June), 1997, pr	on for Mitral Insufficiency", Progress in 567-599.					
Daig Brochure: "Swartz™ Introducers".	Daig Corporation, Minnet	onka MN 1995					
Daig Brochure: "Daig Fast-Cath™ Introd	ducers", Daig Corporation	, Minnetonka, MN, 1994.					
F. Maisano, et al., "The edge-to-edge ted European Journal of cardio-thoracic Sur	chnique: A simplified Met gery", 13:240-246 (1998).	thod to Correct Mitral insufficiency",					
	Reul, Ross M. and Cohn, Lawrence H., Cardiovascular Diseases, Vol. XXXIX, N. Daig Brochure: "Swartz™ Introducers", Daig Brochure: "Daig Fast-Cath™ Introducers", F. Maisano, et al., "The edge-to-edge te	ATION DISCLOSURE STATEMENT PTO Form 1449  01610.0113-US-D2 Applicant(s) Kuehn, et al.					

Examiner: Juhan W. Woo

Date Considered: 10-12-07

0	IP	color color	~		Docket Number 01610.0113-US-D2 Applicant(s)	Serial 1 10/78	Number 4674		
I NE	ORMA	TIGN DISCLOSURE S PTO Form 1449	TATEMEN	T	Kuehn, et al. Filing Date		ŀ		
12	2 0 20	W 2			Filing Date	Group	Art Unit ータフフろ		
133					February 23, 2004	- 12	9117		
SH.	<u> </u>		U.S.	PATENT	DOCUMENTS				
EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE		NĄME	CLASS SUB-CLASS FILIN			DATE OPRIATE)
MININA	A.	4,655,218	04/07/87	Kulik, et	al				
	ВС	5,578,076 6,019,790	11/26/96 02/01/00	Krueger					
		0,019,790	02/01/00	Holmbe	rg, et al.			<u> </u>	
									<del></del>
									· · · · · · · · · · · · · · · · · · ·
					1	• • • •	·		
	· ·					<del></del>			
		1							
							<del> </del>		
	<u> </u>	<u>L</u>	FOREIC	N DATE	NT BOOKINENTS	···		<u> </u>	
	<del>г</del>	\$4C	FUREIG	NPAIE	NT DOCUMENTS	. <del>.</del>	<u> </u>	<del></del>	
EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANS	
INTIALS								YES	NO
ļ									
				· · · · · ·			<del> </del>		
		,		· · · · · · · · · · · · · · · · · · ·			-	<del> </del>	
ļ	· .			-			<u> </u>		
				L					
			0.	THER DO	CUMENTS				
			-						
				· 					
							· · · · · · · · · · · · · · · · · · ·		
Examiner:	If M	han W. N.	100		Date Considered: /	10-12	つフ		

MAY 15 2006 88

FORM PTO-1449	The Property of	Atty. Docket No.: \$16.12-0135	Appl. No.: 10/784,674
LIST (	OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor	:
	·	Stephen T. Kuehn et	al.
		Filing Date	Group Art:
		February 23, 2004	3720-77

#### U.S. PATENT DOCUMENTS

Exami Init		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MM	W AA 5,453,086 9-26-95		Weber		7		
amm	AВ	5,732,872	3-31-98	Bolduc et al.			
WWW	AC	5,618,307	4-8-97	Donlon et al.			
,	AD						
	AE						
	AF						
	AG						
	АН					•	
	AI						
	АJ						
	AK						

#### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
SMM	AL	9920183	10-21-98	WIPO	<u> </u>		
MM	AM	4319829	6-16-93	Germany			
9	AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

						 _
AO						 
AP						
AQ						
EXAMINER:	tuhan	W Win	DATE	CONSIDERED:	10-12-07	_

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OCI O 4 TOWN B		5 1 01 1
FORM PTO-1449	Atty. Docket No.: \$16.12-0135	Appl. No.: 10/784,674
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor	:
	Stephen T. Kuehn et	al.
a a	Filing Date	Group Art:
	February 23, 2004	2738-3713

#### U.S. PATENT DOCUMENTS

Exami Init	ner ial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BMM/	AA	5,601,572	2-11-97	Middleman et al.			
MMM	AB	3,916,908	11-4-75	Leveen			
<b>Y</b>	AC						
	AD			· ·			
	AE						
	AF			·			
	AG						
	АН						
	AI						
	ΑJ						
	AK						

### FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO								
	AP								
•	AQ								
		1	 AN	W	 T	 	 	 	 

EXAMINER: Juhan M. NOS DATE CONSIDERED: 10-17-07

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449								Atty. Docket No.: S16.12-0135				Appl. No.: 10/784,674	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT								First Named Inventor: Stephen T. Kuehn et al.					
TRADEMATE								February 23, 2004				_3731 77	
				1	U.S. P	ATENT I	OCUMENTS						
Examiner Initial		Document No.	Dat	e Name			ıme .	Class		lass	Sub Class		Filing Date If Appropriate
WWW	AA	2,470,327	2/8/1	946	6 W.M. Tener				29		2	32	
7.7.7.17	AB												<del></del>
	AC												
	AD												
	AE												****
	AF												
	AG												
	АН	,											
	AI												
	AJ												
	AK												
				FC	REIGN	PATENT	DOCUMENT	rs					
		Document No.		Date			Country		Class			Sub Class	Translation Yes No
	AL					1				•	<u> </u>	<u>.</u>	
	AM				•								
	AN												
		OTHER ART	(Includ:	ing A	uthor	, Title	, Date, 1	Perti	nen	t Page	s, E	Etc.)	
	AO												
	AP						<del></del>						
	AQ	*************				•							<u> </u>
	ER:	Julian	w	IN			DATE CO	NSIDE	REI	): <i>[1</i> ]	ا دسه	2-0-	7